



Member : National Stock Exchange of India Ltd. (Member ID - 13766) (SEBI REG. No. INB/INE/INF 231376634)  
Bombay Stock Exchange Ltd. (Member ID - 6117) (SEBI REG. No. INB/INF/INE 011376630)  
MCX Stock Exchange Ltd. (Member ID - 59500) (SEBI REG. No. INE 261330935, INB/INF 261376637)  
United Stock Exchange of India Ltd. (Member ID - 13766) (SEBI REG. No. INE 271376634)  
Central Depository Services Ltd. (Member ID - 12070400) (SEBI REG. No. IN-DP-CDSL-608-2011)

## MULTIGAIN SECURITIES SERVICES PVT LTD

(Stock Broker – Members NSE/BSE/MCX-SX/USE)

(Depository Participant)

### POLICY ON PREFUND INSTRUMENTS

#### Guided By:

CA MAYANK SAHU

Executive Terminal Auditor

MR KAMAL KUMAR KHANNA

Compliance Officer

Registers Office:  
H- 50 Lajpat Nagar  
Moradabad-244001

#### Document Information:

Name of Document: MULTIGAIN PREFUNDED INSTRUMENTS POLICY

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It's a Master Policy of the Company for the acceptance of Prefunded Instruments. This policy is Subject to the rules and regulations of the Exchange from time to time.

**Title:** Acceptance of Prefunded Instrument for trades on Exchanges.

**Coverage:** Head office, all the branches of the Company and all the franchisees of the company  
Wherever trading terminals / IBT terminals are there.

**Scope:** Acceptance of Prefunded Instruments like Demand Draft/ Pay order/Bank Guarantees  
From a client against Payin Obligation / Margin.

**Procedures:** The Prefunded Instruments must be accepted only in following special circumstances;

- 1) If there are Bank Holidays on the following day.
- 2) If the client does not have an account in the Client Accounts in which the company has Accounts.
- 3) If the client wants to create a position immediately and has no other way of Transferring funds.
- 4) If the Bank account of the client is in a cooperative bank, which may take some time for The cheque to be cleared.
- 5) If the company Bank accounts clearing branch is not available in the city/village where The client has his bank account.

**Objective:** The Objective of this policy is to minimize the frequency of acceptance of Prefunded Instrument, specially Demand Draft where there is a difficulty in tracking the correct source of Issuance.

**Permissible Limits:** The Prefunded Instruments must be accepted only in cases mentioned above and not otherwise. Approval for acceptance must be taken by either of the executive Directors or the Managing Director and only then credit should be given..